



HELLENIC REPUBLIC
CAPITAL MARKET COMMISSION

PRESS RELEASE

Athens, 1 October 2021

The Board of Directors of the Hellenic Capital Market Commission at its 930th/1.10.2021 meeting decided to impose a fine totaling € 117,000 on the company "HELLASFIN AEPEY" for the infringement of the following provisions:

- article 92 (par. 1) of Greek law 4099/2012 in combination with article 2 (par. 1) of Decision 21/530/19.11.2009 of the Hellenic Capital Market Commission,
- article 41 (par. 1 and 2) of Greek law 4209/2013, for the marketing to retail investors in Greece of units of collective investment undertakings established in another Member State (EU AIFs), without the required authorization by the Hellenic Capital Market Commission,
- article 25 (par. 1, 2 and 3b) of Greek law 3606/2007, as specified by the provisions of articles 12 (par. 1), 8 (par. 1 and 2), and 4 (par. 1) respectively of Decision 1/452/1.11.2007 of the Hellenic Capital Market Commission, in combination with article 29 (par. 2) of Greek law 3606/2007, as in force when the abovementioned company was providing investment services to its clients.