



HELLENIC REPUBLIC
CAPITAL MARKET COMMISSION

DECISION
13/966/30.9.2022
of the Board of Directors

Subject: Amendment of decision 1/506/8.4.2009 of the Board of Directors of the Hellenic Capital Market Commission "Prevention of the use of the financial system for money laundering and terrorist finance".

**THE BOARD OF DIRECTORS
OF THE HELLENIC CAPITAL MARKET COMMITTEE**

Having considered:

1. Article 6 par. 3 and article 35 par. 5 of Greek law 4557/2018 (Government Gazette A/139/30.7.2018),
2. Article 90 of p.d. 63/2005 "Codification of legislation for the Government and governmental bodies" (Government Gazette A/98/2005).
3. Paragraph 2 of article 13 of Greek law 2166/1993 (Government Gazette A' 137), as amended by par. 3 of article 18 of Greek law 2198/1994 (Government Gazette A' 43) and replaced by par. 6 of article 39 of Greek law 2324/1995 (Government Gazette A' 146).

UNANIMOUSLY DECIDES

Article 1

1. Paragraph 1 of article 1 of the Decision 1/506/8.4.2009 of the Board of Directors of the Hellenic Capital Market Commission is replaced as follows:

"1. This Decision concerns the following companies (hereinafter referred to as "the Companies"):

- (a) the investment holding companies until their admission to a regulated market or a multilateral trading facility,
- (b) mutual fund management companies,
- (c) investment service firms and their tied agents,
- (d) investment brokerage companies,

- (e) venture capital funds companies until their admission to a regulated market or a multilateral trading facility,
- (f) real estate investment trusts until their admission to trading to a regulated market or a multilateral trading facility,
- (g) alternative investment fund managers,
- (h) providers engaged in exchange services between virtual currencies and fiat currencies, and
- (i) custodian wallet providers.

2. Paragraph 2 of article 10 of Decision 1/506/8.4.2009 of the Board of Directors of the Hellenic Capital Market Commission is replaced by the following:

"2. The Compliance Officer shall prepare an Annual Report every year by filling in the questionnaire, which can be found in the link "[Application for entering the data of the Annual Report](#)" of the thematic section "[Combating money laundering](#)" of the website of the Hellenic Capital Market Commission.

The Board of Directors evaluates the Annual Report and, after approving it, the Compliance Officer submits it via the abovementioned link within the month of March.

Especially for the Annual Report with data for the year 2021, the Board of Directors shall submit it to the Hellenic Capital Market Commission by 30 November 2022".

The Hellenic Capital Market Commission may revise annually, or whenever otherwise deemed appropriate, the format of the Annual Report, informing the persons subject to it.

Article 2

1. The present decision is valid as of its adoption.
2. No expense results from the provisions of this decision to the State Budget.
3. This Decision shall be published in the Government Gazette.

Alexandra Ninasiou

The President

The A Vice-President

The B Vice-President

Vasiliki Lazarakou

Nikolaos Kontaroudis

Anastasia Stamou

The members

Anastasios Virvilios
Giannopoulos

Panagiotis

Georgios Leledakis

Georgios Paschas