



HELLENIC REPUBLIC
CAPITAL MARKET COMMISSION

PRESS RELEASE

Athens, 18 October, 2021

The Board of Directors of the Hellenic Capital Market Commission at its 933rd/18.10.2021 meeting decided:

- To impose a total fine of € 10.000 on the company “OPTIMA BANK” for the infringement of article 27 paragraph 1 of Greek law 4514/2018 in combination with article 64 of Regulation (EU) 2017/565 regarding non-compliance with best execution obligations, and of article 58 of Regulation (EU) 2017/565 for not concluding a client agreement for the provision of specific investment services.
- To impose a total fine of € 5.000 on the company “THE HOUSE OF AGRICULTURE SPIROU S.A.” for the infringement of article 19 paragraph 1 of Greek law 3556/2007 and of article 6 of HCMC decision 8/754/14.4.2016, because of the late submission to the HCMC of the semi-annual financial report as at 30.6.2020