



HELLENIC REPUBLIC
CAPITAL MARKET COMMISSION

PRESS RELEASE

Athens, September 27, 2023

The Board of Directors of the Hellenic Capital Market Commission at its 996th/27.9.2023 meeting decided:

As part of the audits conducted by the Hellenic Capital Market Commission regarding disclosures to retail investors concerning costs and related charges, fines totaling €32,000 have been imposed for various omissions in presenting specific cases, as follows:

- A total fine of €10,000 on NATIONAL SECURITIES S.A for infringements: of Article 24(4)(c) of Greek law 4514/2018 in conjunction with Article 50(2)(a) of Regulation (EU) 2017/565 and Article 24(4)(c) of Greek law 4514/2018 in conjunction with Article 50(10)(a) of Implementing Regulation (EU) 2017/565.
- A total fine of €10,000 on "BETA SECURITIES S.A." for infringements: of Article 24(4)(c) of Greek law 4514/2018 in conjunction with Article 50(2)(a) of Implementing Regulation (EU) 2017/565, Article 24(4)(c) of Greek law 4514/2018 in conjunction with Article 50(10)(a) of Implementing Regulation (EU) 2017/565, and Article 21(1)(c) of Implementing Regulation (EU) 2017/565.
- A fine of €4,000 on each of "OPTIMA BANK SA", "HELLASFIN INVESTMENT SERVICES S.A." (LEI:2138008NSD1X1XFUK750), and "EUROBANK SA" for infringement of Article 24(4)(c) of Greek law 4514/2018 in conjunction with Article 50(10)(a) of Implementing Regulation (EU) 2017/565.

In addition,

- To impose a total fine of €15,000 on "BETA SECURITIES S.A." for infringement of the provisions of Article 57(1) and Article 154(2) of Greek law 4261/2014, due to a reduction of own funds without obtaining the relevant permission from the Hellenic Capital Market Commission as stipulated in Article 77 of Regulation (EU) 575/2013.
- To impose a total fine of €25,000 has been imposed on "ATTICA BANK S.A." for infringement of the provisions of Article 5b(2) of Greek law 3556/2007, for not complying with the IFRS preparation of consolidated financial statements for the nine-month period ending on September 30, 2020.

- To impose a total fine of €4,000 on each of the companies "KRE.KA. S.A." for infringement of Article 4(1), Article 19(1), and Article 21(5) of Greek law 3556/2007, for the non-disclosure and submit the annual financial report for the period 1.1.2022-31.12.2022, to the Hellenic Capital Market Commission.
- To impose a total fine of €3,000 has been imposed on the company "BITROS HOLDING S.A." for infringement of Article 4(3) of Greek law 3556/2007, for not complying with International Accounting Standard 36 in the preparation of the annual financial statements for the year ending December 31, 2020.
- To impose a total fine of €2,000 on the company "LIVANIS SA" for infringements of Articles 4(1), 19(1), and 21(5) of Greek law 3556/2007, for the non-disclosure and submission to the Hellenic Capital Market Commission of the annual financial report for the period 1.1.2021-31.12.2021, and the semi-annual financial report for the period 1.1.2022-30.6.2022, within the legal deadline.
- At the request of the company of "HELLENIC VALUE SINGLE MEMBER INVESTMENT SERVICES SA" to amend/withdraw the authorization in accordance with point (a) of Article 8(1) of Greek law 4514/2018.