

# INSTRUCTIONS

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## *FOR REPORTING SUSPICIOUS TRANSACTIONS AND ORDERS*

According to Article 16 of the Regulation (EU) No. 596/2014 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 16 April 2014, and the COMMISSION DELEGATED REGULATION (EU) 2016/957 of 9 March 2016, entered in force in July 3, 2016,

- Market Operators,
- investment firms operating a trading venue and
- any person professionally arranging or executing transactions,

shall establish and maintain arrangements, systems and procedures that ensure effective and ongoing monitoring of all orders received and transmitted and all transactions executed for the purposes of detecting and identifying orders and transactions that could constitute insider dealing, market manipulation or attempted insider dealing or market manipulation, and shall transmit suspicious transaction and order report (STOR) to Hellenic Capital Market Commission.

The COMMISSION DELEGATED REGULATION (EU) 2016/957 of 9 March 2016 sets out the procedures that the above mentioned persons and entities shall establish, the cases that shall be reported and also it defines the template of the STOR.

For the purposes of STOR, the attached template should be filled and submitted in conjunction with any supported documentation to Hellenic Capital Market Commission to the e-mail address [STOR@cmc.gov.gr](mailto:STOR@cmc.gov.gr)

**Remarks:** In the following template, please replace the instructions of the right column with the reporting information.

## [REPORTING TEMPLATE](#)