



HELLENIC REPUBLIC  
CAPITAL MARKET COMMISSION

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**INFORMATION PROVIDED TO THE DATA SUBJECTS  
(Articles 13 and 14 of the General Data Protection Regulation)**

Data included in the notification to the Hellenic Capital Market Commission of net short positions in shares and sovereign debt pursuant to Articles 5 and 7 of Regulation (EU) 236/2012 on short selling and certain aspects of credit default swaps.

This notice is addressed to natural persons who are required to notify the Hellenic Capital Market Commission of their positions in shares and sovereign debt pursuant to Articles 5 and 7 of Regulation (EU) 236/2012 on short selling and certain aspects of credit default swaps.

**Data Controller**

HELLENIC CAPITAL MARKET COMMISSION - LEGAL ENTITY, with registered office in Athens (Kolokotroni 1, Athens 105 62), tel. 210-33.77.100.

The Hellenic Capital Market Commission was established as a legal entity (Greek law 1969/1991) and was organized by Greek law 2324/1995 with its registered office at Kolokotroni 1 & Stadiou, Athens 105 62, aiming to ensure the protection of investors and the orderly operation of the Greek capital market, operates exclusively in the public interest, and in general processes personal data which is necessary for the performance of a task carried out in the public interest or in the exercise of an official authority vested in it as a data controller.

The Hellenic Capital Market Commission, as controller, collects, maintains and processes personal data for specified, explicit and legitimate purposes and such data shall not be further processed in a manner incompatible with those purposes.

In particular, in accordance with Articles 5 and 7 of Regulation (EU) 236/2012, the Hellenic Capital Market Commission, as controller, collects, maintains and may process personal data.

This data is collected by the liable persons themselves, in accordance with Articles 5 and 7 of Regulation (EU) 236/2012.

**Purpose of processing and categories of personal data**

The personal data collected, maintained and processed by the Hellenic Capital Market Commission as data controller, in accordance with Articles 5 and 7 of Regulation (EU) 236/2012, and as the competent Supervisory Authority for supervising compliance with the provisions of Regulation (EU) 236/2012 to ensure comprehensive and effective transparency.

The personal data is collected and processed lawfully for the achievement of the abovementioned specified, explicit and legitimate purposes.

The personal data subject to the above processing is data relating to, but not limited to, personal data and significant net short positions in financial products.

### **Legal basis**

The legal basis for the processing of the above personal data is the provision of Article 6 par. 1(c) of the GDPR, as the collection and processing of such data constitutes a legal obligation of the controller, as mentioned above.

### **Recipients**

The Hellenic Capital Market Commission collects, maintains and processes personal data submitted to it.

This data is published on the website of the Hellenic Capital Market Commission and is communicated to the European Securities and Markets Authority (ESMA) in accordance with the thresholds applicable under the applicable legislation.

Such data may be disclosed to other bodies, in addition to other national and foreign supervisory authorities, upon formal request, in particular in the context of an investigation.

### **Responsible authorized officers**

The responsible authorized officers for personal data issues of natural persons included in the notifications as described above are the Director of the Markets Supervision Directorate and the Head of the Monitoring Department of the Markets Supervision Directorate, and the relevant requests are addressed to the Hellenic Capital Markets Commission.

### **Data Protection Officer**

The HCMC has appointed a Data Protection Officer (DPO).

Contact details of the Data Protection Officer: [dpoepke@cmc.gov.gr](mailto:dpoepke@cmc.gov.gr) or by post to the address: KOLOKOTRONI 1 & STADIOU, ATHENS 10562 (Attention: Data Protection Officer)

### **Data retention period**

The Hellenic Capital Market Commission keeps the personal data in its records for at least 25 years for archiving purposes in order to perform a task carried out in the public interest and/or in the exercise of an official authority entrusted to the Hellenic Capital Market Commission, as a controller.

### **Rights of Candidates - Applicants - Data Subjects**

According to the new General Data Protection Regulation (GDPR) 2016/679 of the European Union, any data subject has the right to submit a request to the Hellenic Capital Market Commission for access and rectification or erasure of personal data or restriction of the processing of data concerning it or the right to object to the processing or the right to withdraw consent.

The abovementioned rights shall be exercised by submitting a written request by the interested party addressed to the Hellenic Capital Market Commission.

### **Right to lodge a complaint**

Any interested party has, in addition, the right to lodge a complaint with the competent supervisory authority, the Hellenic Data Protection Authority.

The competent supervisory authority for Greece is the Hellenic Data Protection Authority, Kifissias 1-3, 115 23, Athens, Greece, <https://www.dpa.gr/>, tel. 2106475600.

The HCMC is in constant alignment and compliance with the terms of the GDPR and is constantly making every effort to comply with it.

In this context, this statement may be updated for the full information of any interested party.

Details of Hellenic Data Protection Authority (DPA) details:

Offices: 1-3 Kifissias Street, Athens 115 23, Greece

Tel.: +30-210 64.75.600

Fax: +30-210 64.75.628

E-mail: [contact@dpa.gr](mailto:contact@dpa.gr)

### **Reviews**

Prior to any potential change to the processing of personal data through the Website, this Notice will be amended accordingly and will be published for the information of each interested party as well as for the effective exercise of its rights.