



HELLENIC REPUBLIC  
CAPITAL MARKET COMMISSION

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**INFORMATION PROVIDED TO THE DATA SUBJECTS**

**(Articles 13 and 14 of the General Data Protection Regulation)**

**Information provided to natural persons who are candidates for certification of professional suitability for the provision of services under Article 93 of Greek law 4514/2018 (Government Gazette, A 14/30.1.2018).**

This notice concerns persons applying for participation in certification examinations and/or certification/training seminars or applying for renewal of certification without participation in certification examinations and/or certification/training seminars or applying for exemption from the obligation to participate in certification examinations, in accordance with the Decision 18/809/21.2.2018 of the Board of Directors of the Hellenic Capital Market Commission "*Certification of suitability of persons providing services under Article 93 of Greek law 4514/2018*" (Government Gazette A 14/30.1.2018).

**Data Controller**

HELLENIC CAPITAL MARKET COMMISSION - LEGAL ENTITY, with registered office in Athens (Kolokotroni 1, Athens 105 62), tel. 210-33.77.100.

The Hellenic Capital Market Commission was established as a legal entity (Greek law 1969/1991) and was organized by Greek law 2324/1995 with its registered office at Kolokotroni 1 & Stadiou, Athens 105 62, aiming to ensure the protection of investors and the orderly operation of the Greek capital market, operates exclusively in the public interest, and in general processes personal data which is necessary for the performance of a task carried out in the public interest or in the exercise of an official authority vested in it as a data controller.

The Hellenic Capital Market Commission, as a controller, collects, maintains and processes personal data for specified, explicit and legitimate purposes, and such data shall not be further processed in a manner that is incompatible with these purposes.

The Hellenic Capital Market Commission does not process special categories of personal data.

In particular, in accordance with the Decision 18/809/21.2.2018 of the Board of Directors of the Hellenic Capital Market Commission (Government Gazette B/859/12.3.2018), the HCMC, as a controller, collects, maintains and may process personal data, and personal data relating

to criminal prosecutions, security measures, criminal convictions (Article 10 of GDPR) and administrative sanctions of the Board of Directors of the HCMC.

This data is collected :

- either directly from the persons applying in certification examinations and/or certification/training seminars or applying for exemption from the obligation to participate in certification examinations of professional suitability.
- either through the Companies that employ them or with which they cooperate.

### **Purpose of processing**

Pursuant to Decision 18/809/21.2.2018 (Articles 5-20) of the Board of Directors of the HCMC, the Hellenic Capital Market Commission, as a controller, collects, maintains and processes personal data for:

- the assessment of the minimum formal suitability requirements for participation in the certification examinations,
- the assessment of the minimum formal suitability requirements for participation in the Certification/Training seminars,
- the assessment of the minimum formal suitability requirements for the examination of requests for exemption from the compulsory participation in certification examinations,
- the collection and maintenance of statistical data,
- keeping a record of the Certificates issued and
- the assessment of the personal reliability of certificate holders by the Hellenic Capital Market Commission.

The personal data is collected and processed lawfully for the achievement of the abovementioned, explicit and legitimate purposes. Without the assessment of personal data it is not possible for candidates to participate in the examinations and/or Certification/Training Seminars or to renew their certification without participation in certification examinations and/or Certification/Training Seminars or to be exempted from the mandatory participation in certification examinations and the issuance and/or renewal of the relevant Certificate.

The personal data subject to the abovementioned processing is data relating to qualifications, certificates of employment, letters of recommendation, curriculum vitae, receipts for the payment of examination fees, certificates from insurance institutions, disciplinary proceedings and sanctions for administrative offenses, identity data and contact details.

In addition, in order to achieve the above purposes, the Hellenic Capital Market Commission collects personal data of applicants relating to criminal prosecutions, security measures and criminal convictions (Article 10 of the GDPR) on the basis of the applicable legislation.

In case of objection by the applicants to the processing of such data, it is not possible for the applicants to participate in the examinations and/or the certification/training seminars or to be exempted from the mandatory participation in the certification examinations and the issuance and/or renewal of the relevant Certificate.

## **Recipients**

The Hellenic Capital Market Commission collects, maintains and processes personal data and does not trade personal data.

This data is communicated to entities authorized by the Hellenic Capital Market Commission as well as to entities involved in the certification process of the professional suitability of persons, such as the Bank of Greece, the Hellenic Banking Institute (H.B.I.), the Athens Stock Exchange Group S.A. (AthexGroup), the Athens University of Economics and Business (Department of Business Administration) and Peoplecert Hellas S.A.

## **Publishing on the website of the Hellenic Capital Market Commission**

For reasons of transparency and security of transactions, the Hellenic Capital Market Commission publishes on its website:

- a list with details (name, surname, participant codes, syllabus in which they are examined) of the candidates who meet the requirements for participation in the examinations or in the certification/training seminars for the professional suitability of persons providing services in accordance with the 18/809/21.2.2018 Decision of the Board of Directors of the Hellenic Capital Market Commission (Government Gazette B/859/12.3.2018),
- a list of suitable candidates (names, surnames, codes of successful participants, modules in which they passed) successfully passed the examinations or seminars for the certification of the professional suitability of persons providing services in accordance with the Decision 18/809/21.2.2018 of the Board of Directors of the Hellenic Capital Market Commission (Government Gazette B/859/12.3.2018),
- a table with the details (name, surname, renewed certificates) of the persons renewing their certification in accordance with the Decision 18/809/21.2.2018 of the Board of Directors of the Hellenic Capital Market Commission (Government Gazette B/859/12.3.2018), and
- a list with details (name, surname, family name, certificates held, year of acquisition and year of the expiry of these certificates) of certified persons for the provision of the services in accordance with the 18/809/21.2.2018 Decision of the Board of Directors of the Hellenic Capital Market Commission (Government Gazette B/859/12.3.2018).

## **Responsible authorised officers**

The Head of Directorate of Research and the Head of Training Department of the Research Directorate of the HCMC are responsible for matters concerning personal data of certification of professional suitability.

**Data Protection Officer** of the Hellenic Capital Market Commission (DPO) is Ms Christina Nikolia (210-33.77.245) email: [dpoepke@cmc.gov.gr](mailto:dpoepke@cmc.gov.gr)

### **Data retention period**

The Hellenic Capital Market Commission keeps the personal data of applicants for participation in the Examination and Certification Seminars, in accordance with the Decision of the Board of Directors of the HCMC No.18/809/21.2.2018 (Government Gazette B/859/12.3.2018) in its records for at least 25 years for archiving purposes in order to perform a task carried out in the public interest and/or in the exercise of an official authority entrusted to the Hellenic Capital Market Commission, as a controller.

### **Rights of data subjects**

According to Regulation (EU) 2016/679 of the European Parliament and of the Council (General Data Protection Regulation), any data subject has the right to submit a request to the controller for access, rectification, opposition, erasure, restriction of processing of data concerning it.

The above rights are exercised by submitting a written request addressed to the Hellenic Capital Market Commission and the competent Department of Training of the Directorate of Research of the Hellenic Capital Market Commission.

Any interested party has the right to lodge a complaint with the competent supervisory authority, the Hellenic Data Protection Authority.

Hellenic Data Protection Authority (DPA) details:

Offices: Kifissias 1-3, Athens 115 23  
tel: +30-210 64.75.600 Fax: +30-210 64.75.628  
E-mail: [contact@dpa.gr](mailto:contact@dpa.gr)

The HCMC is in constant alignment and compliance with the terms of the GDPR and is constantly making every effort to comply with it.

### **Reviews**

Prior to any potential changes to the processing of personal data through the Website, we will amend this Notice accordingly and publish it, so that you are informed and exercise effectively your rights.