

REGISTRATION APPLICATION
OF PROVIDERS OF EXCHANGE SERVICES
BETWEEN VIRTUAL CURRENCIES AND FIAT CURRENCIES
& OF CUSTODIAN WALLET PROVIDERS
IN THE RELEVANT REGISTERS
KEPT BY THE HELLENIC CAPITAL MARKET COMMISSION
ACCORDING TO ARTICLE 6(1)(b) OF LAW 4557/2018

Details of the Provider (being a natural person)

Surname:

Forename:

Date and place of birth:

Number of identity card or passport:

TIN:

Work address:

Telephone number / fax:

e-mail address:

Website address:

Details of the Provider (being a legal person)

Name:

TIN:

Commercial registration number (if applicable):

Headquarters' address:

Registered branch¹:

Telephone number / fax:

e-mail address:

Website address:

Details of the beneficial owner of the Provider (being a legal person)

Surname:

Forename:

Date and place of birth:

Number of identity card or passport:

TIN:

Home address:

¹ If different to the headquarters' address of the legal person

Telephone number / fax:
e-mail address:

Details of the natural person representing the Provider to the Hellenic Capital Market Commission regarding the promotion of the registration application

Surname:
Forename:
Position in the Provider:
Number of identity card or passport:
TIN:
Telephone number / fax:
Postal address:
e-mail address:

The Provider:

1. Applies for registration in the relevant registers of providers of exchange services between virtual currencies and fiat currencies and of custodian wallet providers kept by the Hellenic Capital Market Commission in accordance with article 6(1)(b) of Law 4557/2018.
2. Agrees with and accepts the regulations and legislation applicable in Greece concerning the prevention and suppression of money laundering and terrorist financing.
3. Confirms (in accordance with Law 1599/1986 and its consequences) and assumes the responsibility that all information submitted to the Hellenic Capital Market Commission is true and that no information has been omitted that could positively or negatively affect the examination of the registration application.
4. Consents to the processing of personal data (for natural persons) in accordance with article 6 of the General Data Protection Regulation.
5. Declares that the Provider has paid the registration fee and that the Provider shall pay the annual fees in accordance with the Ministerial Decision G.D.E.P. 0000532 2016 (Government Gazette B/999/11.4.2016) on the financial resources of the Hellenic Capital Market Commission.

Athens,

The Provider

INFORMATION ON PROVIDERS

No	Information	Tick (√)
1	An up-to-date copy of the <u>criminal record certificate of the Provider</u> (being a natural person) or equivalent foreign document.	
2	<u>Articles of incorporation</u> of the Provider (being a legal person).	
3	<u>A copy of a valid identity card or passport with a photograph, certificate of home address, TIN certification document for the beneficial owner, the representative and the shareholders having more than 10% of the capital or voting rights of the Provider that is a legal person.</u>	
4	An up-to-date copy of the <u>criminal record certificate</u> or equivalent foreign document of the <u>members of the Board, the beneficial owner and shareholders</u> of the Provider being a legal person.	
5	<u>Display of ownership and control structure</u> of the Provider (being a legal person), including information on the capital invested and percentage of shareholders.	
6	Indication of any membership in a <u>group of companies</u> and the individual <u>parent-subsiary relationships</u> (for a Provider that is a legal person).	
7	<u>Date of commencement</u> of the planned or existing business activity of the Provider with a <u>detailed description of the services</u> in relation to virtual currencies and how they are provided. Reference of Activity Code Numbers (ACNs).	
8	<u>Business Plan</u> of the Provider, setting out, inter alia, the business objectives, the origin and type of customers, the employees of the Provider, governance issues, the adequacy of financial and other resources, information on the volume and value of transactions, pricing and main sources of income and expenses.	
9	<u>Organizational structure</u> of the Provider, including any outsourcing of tasks to third parties.	
10	<u>IT systems and controls</u> , including IT security policies and procedures.	
11	<u>Details of the Compliance Officer</u> , as defined in article 38 of law 4557/2018, including forename and surname, date and place of birth, copy of identity card or passport in force, curriculum vitae, TIN number, e-mail address, telephone number and up-to-date copy of a criminal record certificate or an equivalent foreign document.	
12	<u>Details of a senior executive</u> (if any), as defined in article 3(18) of law 4557/2018, including forename and surname, date and place of birth, copy of identity card or passport in force, curriculum vitae, TIN number, e-mail address, telephone number and up-to-date copy of a criminal record certificate or an equivalent foreign document.	
13	<u>Internal control system and planned policies and procedures</u> for the fulfillment of the requirements defined in article 35 of law 4557/2018.	
14	<u>Business-wide ML/TF risk assessment and identification/detection and mitigation policies.</u>	